

### **Specialized University Policies, Guidelines, and Regulations Related to Conflict of Interest**

Summaries of the following policies can be found in Business and Finance Bulletin G-39, 6/18/02. UCD policy and procedure references are noted in italics. Copies of the source documents for local policies can be obtained online using the links below, or by contacting the Campus Policy Coordinator, Offices of the Chancellor and Provost.

1. Standing Order of The Regents of the University of California 103.1(b), Special Provisions Concerning Officers, Faculty Members, and Employees of the University, Service Obligations.
2. Privileges and Duties of Members of the Faculty, University Regulation No. 3 (February 15, 1935), Academic Personnel Manual Section 005, Paragraph 3a.
3. Special Services to Individuals and Organizations, University Regulation No. 4 (June 23, 1958), and Principles Underlying Regulation No. 4 (June 23, 1958), Academic Personnel Manual Section 020.
4. Policy on Academic Freedom, University Regulation No. 5 (June 15, 1944), Academic Personnel Manual Section 010.
5. Academic Personnel Manual Section 015, The Faculty Code of Conduct and Academic Personnel Manual Section 016, University Policy on Faculty Conduct and the Administration of Discipline (January 1, 2002). *Academic Personnel Manual Section UCD-015 and UCD-016.*
6. Policy on Conflict of Commitment and Outside Professional Activities of Faculty Members (July 1, 2001), Academic Personnel Manual Section 025. *Academic Personnel Manual Section UCD-025.*
7. Policy on Additional Compensation for Services as Faculty Consultant (December 14, 2000), Academic Personnel Manual Section 664.
8. Statement on Conflict of Interest (October 5, 1967 and October 12, 1967).
9. Instructions to Review and Appraisal Committees (February 1, 1994), Academic Personnel Manual Section 210.
10. University of California Patent Policy (October 1, 1997). *PPM Section 250-15.*
11. Policies on Employment of Near Relatives, Academic Personnel Manual Section 520 (July 29, 1999) and Personnel Policies for Staff Members, Policy 21—Appointment, Section G, Near Relatives (March 14, 1997). *PPM Section 380-13.*
12. Policy and Guidelines Regarding Acceptance of Gifts and Gratuities by Employees Under California's Political Reform Act (January 2001). *PPM Section 380-55.*
13. Policies Applying to Campus Activities, Organizations, and Students, Section 40.00 Policy on Use of University Properties. *PPM Section 270-20.*
14. University of California Conflict of Interest Code (financial), originally approved by the Fair

- Political Practices Commission (January 26, 1978), and reapproved yearly thereafter with modifications. *PPM Section 380-55*
15. Policy Regarding Employee-Vendor Relationships (August 19, 1982). *PPM Sections 330-05, 350-90.*
  16. Materiel Management, Business & Finance Bulletin BUS-43 (May 31, 2000). *PPM Section 350-10.*  
  
Part 3: Basic Policies and Requirements, Section X, Personal Purchases (August 15, 1998). *PPM Section 350-10.*  
  
Part 7: Employee-Vendor Relationships (December 15, 1994). *PPM Section 350-90.*  
  
Introduction and Exhibit B, Principles and Standards of Purchasing Practice, National Association of Purchasing Management, and Code of Ethics, National Association of Educational Buyers.
  17. Independent Consultants, Business & Finance Bulletin BUS-34 (August 15, 1998). *PPM Section 380-70.*
  18. Ethical Professional Conduct: Internal Audit Code of Ethics.
  19. Universitywide Police Policies and Procedures (July 1988).
  20. Policy on Disclosure of Financial Interest in Private Sponsors of Research and Guidelines (April 26, 1984), Academic Personnel Manual Section 028. *PPM Section 230-05.*
  21. University Policy on Accepting Equity When Licensing University Technology (February 16, 1996).
  22. Independent Contractors, Business and Finance Bulletin BUS-77 (April 4, 2000).
  23. Disqualification Requirements for University of California Public Officials Under the California Political Reform Act (January 2001). *PPM Section 380-55*
  24. A Guide to Political Reform Act of 1974, California's Conflict of Interest Law for Public Officials, Business and Finance Bulletin BUS-78 (August 4, 1997).
  25. Management and Control of University Equipment, Business and Finance Bulletin BUS-29, Section N—Personal Use of Property. *PPM Section 350-55.*
  26. Policy on Outside Professional Activities for Officers and Designated Staff (July 1, 1995).
  27. University of California Policy on Disclosure of Financial Interests and Management of Conflicts of Interest Related to Sponsored Projects (October 15, 1997). *PPM Section 230-05.*
  28. Personnel Policies for Staff Members, Policy 82-Conflict of Interest (July 1, 1996).
  29. Managing Potential Conflicts of Interest in Licensing Under the California Political Reform Act (August 1, 2001).

30. Policy on the Requirement to Submit Proposals and to Reserve Awards for Grants and Contracts through the University (December 15, 1994). *PPM Section 330-31*.
31. University Policy on Integrity in Research (June 19, 1990). *PPM Section 240-01*.

Additional UC Policies Related to Conflict of Interest:

Policy on Health Care Vendor Relations (March 12, 2008).